

Alfvén Wave Heating and Current Drive Analysis in Magnetized Plasma Structures

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In this paper a method for the analytical treatment of the dielectric permeability tensor is developed and analysis of the wave heating and current drive is carried out for magnetized plasma structures with an equilibrium field aligned current. Electron Landau and transit time magnetic pumping absorptions of waves is considered. Simple expressions for the current drive by the Alfvén and fast waves are obtained. This current drive is discussed in terms of "gradient" effects, including the effect of the resonant and nonresonant current drive. The influence of all electric field on current drive is demonstrated on the basis of numerical solutions of the kinetic equation with Landau-Fokker-Planck collision operator.

I. Introduction

Nowadays, Alfvén waves (AW) are widely used for plasma production, resonant heating of magnetized plasmas and current drive in laboratory experiments^[1-3]. In the nearest future, this program will be under investigation in the TCA/Br - Brazilian tokamak with Alfvén wave heating^[4].

Past years, the theory of the Alfvén waves had been intensively studied (see the initial paper^[5] and reviews^[2,6,7], and references therein) not only in connection with laboratory experiments, but also in space plasmas applications^[8-11]. In space physics, there are some proposals about particle acceleration and solar chromospheric and coronal heating by Alfvén waves^[10-13].

The classical Alfvén waves^[5] in homogeneous plasmas are degenerated modes. The properties of Alfvén waves depend strongly on the magnetic field structure and on the ratio of the Alfvén phase velocity to the electron thermal velocity (c_A/v_{Te})^[2,6,7], where $c_A = B_0/\sqrt{4\pi N_i M_i}$, and $v_{Te} = \sqrt{T_e/m_e}$. The waves in the Alfvén waveband are divided into fast magneto-sonic waves (FMSW), global Alfvén waves (GAW), which depend on the magnetic field struc-

ture, kinetic (KAW) and quasioleostatic (QEA) (or "cold") Alfvén waves in magnetized plasmas with hot ($c_A \ll v_{Te}$) and cold ($c_A \gg v_{Te}$) electrons, and, finally, surface Alfvén modes (SAM), which do not have a radial wave structure. This last mode appears only in bounded or layered plasmas.

To study different applications of the Alfvén waves for plasma heating and current drive, several important questions arise and their theoretical solution is of paramount importance to understand the general AW effects in plasmas:

- What is the structure of Alfvén waves in inhomogeneous plasmas?
- How Landau damping, transit time magnetic pumping (TTMP), and collisional dissipation of AW vary with the curvature and the gradients of the ambient magnetic field, and with the changing of the plasma density and temperature profiles?
- What will be the distribution of absorbed power and current drive profile in different magnetic field configurations?

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To solve the problems mentioned above in collisional plasmas, the magneto-hydrodynamic (MHD) model of the plasma is used^[7,8,14]. In general, laboratory or space plasmas are weakly collisional. It means that the electron-ion collision frequency is smaller than the wave frequency ($\nu_{ei} \ll \omega$) and the parallel wavelength

along the magnetic field lines ($1/k_{\parallel}$) is smaller than the mean free path of electrons ($1/k_{\parallel} \ll v_{Te}/\nu_{ei}$). For weakly collisional plasmas most of the wave-particle interaction effects and the wave heating and current drive problems can be solved in the framework of the Vlasov-Maxwell's set of equations (see, for instance,^[2,6,7])

$$\frac{\partial F_{\alpha}}{\partial t} + \vec{v} \frac{\partial F_{\alpha}}{\partial \vec{r}} + \frac{e_{\alpha}}{m_{\alpha}} \left\{ \vec{E} + \frac{1}{c} [\vec{v} \times \vec{B}] \right\} \frac{\partial F_{\alpha}}{\partial \vec{v}} = \hat{S}t\{F_{\alpha}\}; \quad (1)$$

$$\text{curl} \vec{B} = \frac{4\pi}{c} \vec{j} + \frac{1}{c} \frac{\partial \vec{E}}{\partial t}; \quad -\frac{1}{c} \frac{\partial \vec{B}}{\partial t} = \text{curl} \vec{E}. \quad (2)$$

Here, $F_{\alpha}, e_{\alpha}, m_{\alpha}$, are the distribution function, charge and mass of ions or electrons, \vec{r}, \vec{v} , are the configuration space vector-coordinates; $\vec{E}, \vec{B} = \vec{B}_0 + \vec{\tilde{B}}, \vec{j} = \vec{j}_0 + \vec{\tilde{j}}$, are the electric and magnetic fields, and the current density, which are divided in quasis-stationary and oscillating parts; $\hat{S}t\{F\}$ is the collision operator in the Landau form^[14,15]. Throughout the paper the CGSM system of units is used.

In complicated magnetic field geometries (for instance, in toroidal one) the distribution function $F = F(t, \vec{r}, \vec{v})$ depends on seven variables and, moreover, it is necessary to include the specific effect of ion and electron periodic motions^[16] along the magnetic field lines. The solution of this problem is a formidable task in the frame of Eqs. (1,2).

In the simplest approach, which ignores the drift motion across the magnetic surfaces and assumes that the toroidicity parameter $\epsilon = r/R \ll 1$ is very small, it is possible to use a cylindrical plasma model, which is also important for space plasma applications. If the Larmor radii of ions and electrons are small in comparison with the scale of the plasma inhomogeneity ($\rho_{i,e} = v_{Ti,e}/\omega_{ci,e} \ll l_{N,T} = N/(dN/dr), T/(dT/dr)$, where $\rho_{i,e}$ are ion and electron Larmor radii), which means that the plasma is magnetized, the problems of Alfvén wave heating and current drive may be solved in closed form. Some results were discussed earlier in References [2,6,7,17].

In the second part of this paper, an analytical method for evaluation of the dielectric permeability tensor-operator will be presented, taking into account

drift corrections (related to gradients of equilibrium parameters) and the equilibrium plasma current. In the third part, the MHD approach for the dielectric permeability tensor in complicated plasma geometries will be demonstrated. In the fourth part, the Alfvén wave current drive for magnetized plasmas will be analyzed using the geometric optics approximation for the radial coordinate of the wave fields and some results of the numerical solution of the Fokker-Planck equation for electrons will be presented.

II. Dielectric permeability tensor operator of magnetized plasmas

The procedure to solve the set of the equations (1-2) can be reduced to Maxwell's equations only if we are able to find the relations between the current density oscillations $\vec{\tilde{j}}$ and the perturbed electric field $\vec{\tilde{E}}$ from Vlasov's equation. Usually, these relations ($\vec{\tilde{j}}_s = (4\pi/\omega)c\epsilon_{sk}\vec{\tilde{E}}_k$) are defined through the dielectric tensor components, ϵ_{sk} , which dependent on the equilibrium plasma parameters and the magnetic field configuration.

In this section, we will use the cylindrical model of the magnetized plasma with one or a few kinds of ions. The equilibrium plasma current \vec{j}_0 is aligned with the equilibrium magnetic field \vec{B}_0 . Under the equilibrium conditions, we can assume that the current is formed due to the electron motion with the velocity, v_0 , in a background of non-drifting ions. Therefore, the electrons are the source of the equilibrium current \vec{j}_0 in the

plasma. Further, we will assume that v_0 is smaller or of the order of the electron thermal velocity v_{Te} . According to the electrodynamical laws, the longitudinal current produces the poloidal magnetic field $B_{0,\theta}(r)$. In this case, the magnetic surfaces may be considered as circular and concentric cylinders. For the described model, it is possible to use a cylindrical coordinate system:

$$X = r \cos\theta, \quad Y = r \sin\theta, \quad Z = z.$$

where r is the radius of a magnetic surface. The stationary magnetic field configuration \vec{B}_0 is chosen as in the Reference [6]:

$$B_{0,r} = 0, \quad B_{0,\theta} = h_\theta B_0, \quad B_{0,z} = h_z B_0.$$

where h_θ and h_z are, respectively, the poloidal and axial projections of the magnetic field unit vector: $\vec{h} = \vec{B}_0/B_0$. The helical magnetic field line is twisted over such cylindrical magnetic surfaces with radius r . This model is reasonable for tokamaks and solar loops with low pressure $\beta \ll 1$ and small toroidicity parameter $\varepsilon = r/R \ll 1$. A collisionless wave dissipation will be considered and the "plateau" conditions for tokamaks with weak collisions will be assumed

$$\sqrt{\varepsilon/2} v_{Te}/R_0 q \ll \nu_{ei} \ll \omega, \quad q = r h_z / R_0 h_\theta$$

where q is the tokamak safety parameter and R_0 is the tokamak major radius, which is equal to the length, L , of the plasma cylinder divided by 2π . Thus, the formal transformation of the wave frequency $\omega \rightarrow \Omega + i\nu_{ef}$, which means to use the Krook's approximation for the collision operator $\hat{S}t\{f\}$, can be done.

In the discussed plasma model, the radial inhomogeneity equilibrium density $N_0(r)$, temperature $T_{e,i}(r)$ of the plasma and the poloidal magnetic field $B_{0,\theta}(r)$ are taken into account. On the other hand, it is supposed that these equilibrium parameters do not depend on time t and are homogeneous in the poloidal angle, θ , and axial coordinate, z . Consequently, all dependence on time and poloidal and axial coordinates of the perturbed electric and magnetic fields (\vec{E} and \vec{B}) in linear approximation appears through $\exp[i(m\theta + kz - \Omega t)]$, due to the plasma homogeneity over these variables. Because of this homogeneity, we worked in the one-plane-wave approximation (allowed by the superposition principle valid for Maxwell equations). Here Ω is the wave frequency, m/r and k are the poloidal and the longitudinal projections of the wave vector, respectively.

We begin to find the linearized solution of the Vlasov's kinetic equation (1) for the distribution function of the electrons and ions, $F = F_0 + \tilde{f}$, as a sum of the equilibrium distribution function and a perturbed part \tilde{f} , which is proportional to the wave fields as $\propto \tilde{E}_{r,\theta,z} \exp[i(m\theta + kz - \Omega t)]$. The distribution function depends on the radius and the velocity space variables. We use a special system of coordinates in velocity space ($v_\perp, \sigma, v_\parallel$), where v_\perp (perpendicular) and σ are local-polar velocity coordinates, and v_\parallel (parallel) is the projection of \vec{v} on the \vec{B} lines. Unlike Ross et al.^[6], we take into account, for the equilibrium distribution, the first order drift corrections to the stationary local Maxwell distribution of each plasma component α :

$$F_{0\alpha} = F_{M\alpha} + F_{b\alpha} \sin\sigma, \quad (3)$$

where

$$F_{M\alpha} = \frac{N_{0\alpha}}{(2\pi v_{T\alpha}^2)^{1.5}} \exp\left[-\frac{v_\perp^2 + (v_\parallel - v_{0\alpha})^2}{2v_{T\alpha}^2}\right], \quad F_{b\alpha} = \frac{v_\perp}{\omega_{c\alpha}} \frac{dF_{M\alpha}}{dr}$$

For the set of the vectors $\vec{A} = \{\vec{E}, \vec{B}, \vec{j}, \vec{v}\}$, we use, conveniently, the normal A_1 , binormal A_2 and parallel A_3 projections relative to the direction of the magnetic field, which are transformed to the cylindrical compo-

nents A_r, A_θ, A_z through the following formulae:

$$A_1 = A_r, \quad A_2 = A_\theta h_z - A_z h_\theta, \quad A_3 = A_z h_z + A_\theta h_\theta.$$

In the velocity space the coordinates $v_{1,2,3}$ are de-

defined through the local polar coordinates:

$$v_1 = v_{\perp} \cos \sigma, \quad v_2 = v_{\perp} \sin \sigma, \quad v_3 = v_{\parallel},$$

which are varied in the region:

$$0 \leq v_{\perp} < \infty, \quad 0 \leq \sigma < 2\pi, \quad -\infty < v_{\parallel} < \infty.$$

$$\tilde{f} = f_0 + \sum_{l \neq 0} [f_{r,l} \cos(l\sigma) + f_{b,l} \sin(l\sigma)] \exp[i(m\theta + kz - \Omega t)].$$

The first three coefficients $f_{0,r,b}$ in the above equation are most important for the dielectric tensor evaluation. f_0 is related to the perturbed distribution function averaged over particle gyrations in the magnetic field and f_r, f_b (where index "1" is omitted) are corrections of

the perturbed distribution function, which are related to the particle gyration. Assuming that the Larmor radius is much smaller than the radial wave field inhomogeneity, we evaluate the set of the linear equations for $f_{0,r,b}$ from the Vlasov's equation (1):

$$\begin{aligned} & i(v_{\parallel} k_{\parallel} - \omega) f_0 + \frac{h_{\theta}^2}{r} \left(v_{\parallel}^2 \frac{\partial f_0}{\partial v_{\perp}} - v_{\parallel} v_{\perp} \frac{\partial f_0}{\partial v_{\parallel}} \right) + \frac{v_{\perp}}{2} \left(\frac{df_r}{dr} + \frac{f_r}{r} + ik_b f_b \right) \\ & = -\frac{e}{m_{\alpha}} \left[E_3 \frac{\partial F_M}{\partial v_{\parallel}} + \frac{E_2}{\omega_c} \frac{\partial}{\partial r} \left(F_M + \frac{v_{\perp}}{2} \frac{\partial F_M}{\partial v_{\perp}} \right) + \frac{v_{\parallel}}{c v_{\perp}} B_1 F_b \right]; \end{aligned} \quad (4)$$

$$\begin{aligned} \omega_c f_b = & -i(\omega - k_{\parallel} v_{\parallel}) f_r + v_{\perp} \frac{\partial f_0}{\partial r} - \frac{h_{\theta}^2 v_{\parallel}}{r} \left(v_{\perp} \frac{\partial f_0}{\partial v_{\parallel}} - v_{\parallel} \frac{\partial f_0}{\partial v_{\perp}} \right) + \\ & \frac{e}{m_{\alpha}} \left[E_1 \frac{\partial F_M}{\partial v_{\perp}} + \frac{B_2}{c} \left(\frac{v_{\perp} v_0}{v_T^2} F_M \right) - \frac{B_3}{c} F_b \right]; \end{aligned} \quad (5)$$

$$\omega_c f_r = i(\omega - k_{\parallel} v_{\parallel}) f_b - ik_b v_{\perp} f_0 - \frac{e}{m_{\alpha}} \left[E_2 \frac{\partial F_M}{\partial v_{\perp}} + E_3 \frac{\partial F_b}{\partial v_{\perp}} - \frac{B_1}{c} \left(\frac{v_{\perp} v_0}{v_T^2} F_M \right) \right]. \quad (6)$$

Here, $k_{\parallel} = \mathbf{th} + h_{\theta} m/r$ and $k_b = h_z m/r - kh_{\theta}$ are the parallel and binormal components of the wave vector.

In the next step, combining the Equations (5,6) and (4) and taking into account only first order drift correc-

tions $\propto \rho_{\lambda}/l_{N,T}$, we find expressions for f_r, f_b and f_0 . These functions will be used for the calculations of the normal (j_1), binormal (j_2) and parallel (j_3) components of the oscillating current density:

$$j_1 = \sum_{\alpha} e_{\alpha} \int_0^{2\pi} d\sigma \cos \sigma \int_{-\infty}^{+\infty} dv_{\parallel} \int_0^{\infty} v_{\perp}^2 \tilde{f}_{\alpha} dv_{\perp} = \pi \sum_{\alpha} e_{\alpha} \int_{-\infty}^{+\infty} dv_{\parallel} \int_0^{\infty} v_{\perp}^2 f_r^{(\alpha)} dv_{\perp}; \quad (7)$$

$$j_2 = \sum_{\alpha} e_{\alpha} \int_0^{2\pi} d\sigma \sin \sigma \int_{-\infty}^{+\infty} dv_{\parallel} \int_0^{\infty} v_{\perp}^2 \tilde{f}_{\alpha} dv_{\perp} = \pi \sum_{\alpha} e_{\alpha} \int_{-\infty}^{+\infty} dv_{\parallel} \int_0^{\infty} v_{\perp}^2 f_b^{(\alpha)} dv_{\perp}; \quad (8)$$

$$j_3 = \sum_{\alpha} e_{\alpha} \int_0^{2\pi} d\sigma \int_{-\infty}^{+\infty} v_{\parallel} dv_{\parallel} \int_0^{\infty} v_{\perp} \tilde{f}_{\alpha} dv_{\perp} = 2\pi \sum_{\alpha} e_{\alpha} \int_{-\infty}^{+\infty} v_{\parallel} dv_{\parallel} \int_0^{\infty} v_{\perp} f_0^{(\alpha)} dv_{\perp} \quad (9)$$

where the summation is carried out for all kinds of the plasma particles ($\alpha = e, i_1, \dots$).

After integration of Eqs. (7-9) over the velocity space we can obtain the dielectric tensor-operator $\hat{\epsilon}_{sk}$ using the following relations: $j_s = i(\Omega/4\pi)(\delta_{sk} -$

$\hat{\epsilon}_{sk})E_k$, where δ_{sk} is the Kroneker symbol.

Here, we present all nine components of the dielectric permeability tensor. The most of them are differential operators on r , and they are labeled as $\hat{\epsilon}_{sk}$:

$$\hat{\epsilon}_{11} = 1 + \sum_{\alpha} \frac{\omega_{P\alpha}^2}{\omega_{c\alpha}^2} \left[1 + \frac{\omega^2}{\omega_{c\alpha}^2} - 2 \frac{k_{\parallel} v_{0\alpha}}{\omega} + 2 \frac{k_b^2 v_{T\alpha}^2}{\omega^2} (\Lambda_{\alpha} - 1) \right]; \tag{10}$$

$$\hat{\epsilon}_{12} = \sum_{\alpha} \frac{i\omega_{P\alpha}^2}{\omega\omega_{c\alpha}} \left[1 + \frac{\omega^2}{\omega_{c\alpha}^2} - \frac{k_{\parallel} v_{0\alpha}}{\omega} - \frac{(\chi_N + \chi_T)k_b v_{T\alpha}^2}{\omega\omega_{c\alpha}} + \frac{2k_b v_{T\alpha}^2}{r\omega\omega_{c\alpha}} (\Lambda_{\alpha} - 1) \frac{\partial}{\partial r}(r\dots) \right]; \tag{11}$$

$$\hat{\epsilon}_{13} = -i \frac{k_b}{k_{\parallel}} \sum_{\alpha} \frac{\omega_{P\alpha}^2}{\omega\omega_{c\alpha}} \left\{ \Lambda_{\alpha} - \frac{k_{\parallel} v_{0\alpha}}{\omega} - \frac{k_b v_{T\alpha}^2}{2\omega\omega_{c\alpha}} [\chi_T + 2\chi_N \Lambda_{\alpha} + \chi_T(1 + 2Z_{\alpha}^2)\Lambda_{\alpha}] \right\}; \tag{12}$$

$$\hat{\epsilon}_{21} = -\epsilon_{12} - i \sum_{\alpha} \frac{\omega_{P\alpha}^2}{\omega^2} \frac{k_b v_{T\alpha}^2}{\omega_{c\alpha}^2} \left\{ \frac{4}{r} (\Lambda_{\alpha} - 1) - 2\chi_N \Lambda_{\alpha} - \chi_T [(1 + 2Z_{\alpha}^2)\Lambda_{\alpha} - 1] \right\}; \tag{13}$$

$$\begin{aligned} \hat{\epsilon}_{22} = \sum_{\alpha} \frac{\omega_{P\alpha}^2}{\omega_{c\alpha}^2} \left\{ 1 + \frac{\omega^2}{\omega_{c\alpha}^2} - \frac{\chi_1 v_{0\alpha} \omega_{c\alpha}}{\omega^2} - 2 \frac{v_{T\alpha}^2}{\omega^2} (\Lambda_{\alpha} - 1) \frac{\partial}{\partial r} r \left[\frac{\partial}{\partial r} \left(\frac{1}{r} \dots \right) \right] \right. \\ \left. - \frac{\chi_N v_{T\alpha}^2}{r\omega^2} \left[1 + 2(\Lambda_{\alpha} - 1) \frac{\partial}{\partial r}(r\dots) \right] - \frac{\chi_T v_{T\alpha}^2}{r\omega^2} \left[1 - [1 - (1 + 2Z_{\alpha}^2)\Lambda_{\alpha}] \frac{\partial}{\partial r}(r\dots) \right] \right\}; \end{aligned} \tag{14}$$

$$\hat{\epsilon}_{23} = \sum_{\alpha} \frac{\omega_{P\alpha}^2}{\omega\omega_{c\alpha}} \left\{ (\Lambda_{\alpha} - \frac{k_{\parallel} v_{0\alpha}}{\omega}) \frac{1}{k_{\parallel}} \frac{\partial}{\partial r} + \frac{\chi_N}{k_{\parallel}} \Lambda_{\alpha} + \frac{\chi_T}{2k_{\parallel}} [1 - (1 - 2Z_{\alpha}^2)\Lambda_{\alpha}] \right\}; \tag{15}$$

$$\hat{\epsilon}_{31} = -\epsilon_{13} + i \frac{k_b}{k_{\parallel}} \sum_{\alpha} \frac{\omega_{P\alpha}^2}{\omega\omega_{c\alpha}} \left[(1 - \Lambda_{\alpha}) \frac{k_{\parallel} v_{0\alpha}}{\omega} \right]; \tag{16}$$

$$\hat{\epsilon}_{32} = \frac{-1}{k_{\parallel} r} \sum_{\alpha} \frac{\omega_{P\alpha}^2}{\omega\omega_{c\alpha}} \left\{ (1 - \frac{k_{\parallel} v_{0\alpha}}{\omega}) \Lambda_{\alpha} - \frac{k_b v_{T\alpha}^2}{\omega\omega_{c\alpha}} \left\{ \chi_N \Lambda_{\alpha} + \frac{\chi_T}{2} [1 + (1 + 2Z_{\alpha}^2)\Lambda_{\alpha}] \right\} \right\} \frac{\partial}{\partial r}(r\dots); \tag{17}$$

$$\epsilon_{33} = \sum_{\alpha} \frac{\omega_{P\alpha}^2}{\frac{k_{\parallel} v_{T\alpha}^2}{\omega}} \left\{ \left(1 + \frac{k_{\parallel} v_{0\alpha}}{\omega} \right) \Lambda_{\alpha} - \frac{\chi_N k_b v_{T\alpha}^2}{\omega\omega_{c\alpha}} \Lambda_{\alpha} - \frac{\chi_T k_b v_{T\alpha}^2}{2\omega\omega_{c\alpha}} [1 - (1 - 2Z_{\alpha}^2)\Lambda_{\alpha}] \right\}; \tag{18}$$

where $\omega_{P\alpha}^2 = 4\pi N_{0\alpha} e_{\alpha}^2 / M_{\alpha}$ is the plasma frequency,

$$\Lambda_{\alpha} = 1 + i\sqrt{\pi} Z_{\alpha} W(Z_{\alpha}), \quad Z_{\alpha} = \frac{\omega - k_{\parallel} v_{0\alpha}}{\sqrt{2} k_{\parallel} v_{T\alpha}} \tag{19}$$

and

$$W(Z_{\alpha}) = \exp(-Z_{\alpha}^2) \left[1 + \frac{2i}{\sqrt{\pi}} \int_0^{Z_{\alpha}} \exp(t^2) dt \right],$$

is the usual plasma dispersion function, and the radial inhomogeneity parameters χ_1, χ_N, χ_T are defined as

$$\chi_1 = r h_z^2 \frac{\partial}{\partial r} \left(\frac{h_{\theta}}{r h_z} \right), \quad \chi_N = \frac{\partial}{\partial r} \ln N_0, \quad \chi_T = \frac{\partial}{\partial r} \ln T.$$

They are, respectively, the shear parameter of the helical magnetic field, χ_1 , and the logarithmic derivatives of the equilibrium density N_0 and temperature T of the plasma particles. These components are necessary for the analysis of the plasma eigenmodes, wave heating and current drive problems.

Note that in the expressions of $\hat{\epsilon}_{ik}$ we keep only the most important terms, which are proportional to the electron current velocity ($v_0 k_{\parallel} / \Omega$), the squared gyroviscosity parameter, $(\Omega^2 / \omega_{ci}^2)$, and the radial gradients of the density ($\chi_N v_T / \omega_c$) and temperature ($v_T \chi_T / \omega_c$). If we omit the tensor components $\hat{\epsilon}_{13}, \hat{\epsilon}_{31}, \hat{\epsilon}_{32}, \hat{\epsilon}_{23}$ and exclude the terms with χ_N, χ_T , we obtain the well-known result of References [6,17,18] for the dielectric permeability of the cylindrical plasmas in a helical magnetic field. Moreover, we take into account Doppler shifts, $k_{\parallel} v_0$, in the plasma dispersion function, which can be important for the analysis of the resonance zone condition of the collisionless wave dissipation in the moving plasma with "hot" electrons. The components of the

permeability tensor $\hat{\epsilon}_{ik}$ can be simplified taking into account the well known approximations of the function, $W(Z_{\alpha})$, for $Z_{\alpha} \ll 1$ and $Z_{\alpha} \gg 1$.

III. Magneto-hydrodynamic interpretation of dielectric permeability tensor

As was shown above, the direct method of the evaluation of a dielectric permeability tensor was used by means of the calculation of currents in a plasma on the basis of the kinetic equation. However, it is interesting and sometimes important, to demonstrate the magneto-hydrodynamic (MHD) way of evaluating the dielectric permeability tensor and its interpretation. Such an approach helps us to gain physical understanding of the problem and show the macroscopic parameters of the plasma (pressure, viscosity and so on), from which the dielectric permeability tensor can be derived. With this purpose, it is convenient to proceed from hydrodynamic equations^[14,19] for the ions and electrons, which are valid for all collisional regimes,

$$m_{\alpha} N_{\alpha} \frac{d_{\alpha} \vec{V}_{\alpha}}{dt} = -\vec{\nabla} p_{\alpha} - \vec{\nabla} \cdot \vec{\pi}_{\alpha} + e_{\alpha} N_{\alpha} \vec{E} + \frac{e_{\alpha} N_{\alpha}}{c} [\vec{V}_{\alpha} \times \vec{B}] + \vec{R}_{\alpha} \quad (20)$$

Here, we use the well-known designations: m_{α} is the mass, N_{α} is the density, \vec{V}_{α} is the macroscopic velocity, p_{α} is an isotropic part of the pressure, $\vec{\pi}_{\alpha}$ is the viscosity tensor of the α -kind particles; \vec{R}_{α} is the friction force between the particles of α -kind and other

particles.

In a weakly collisional plasma the friction between particles for the transverse motion is usually negligibly small and can be omitted. Definitions of p_{α} and $\pi_{\parallel\alpha}$ (see, for example,^[14]), are given by expressions:

$$p_{\alpha} = \frac{1}{3} m_{\alpha} \int w^2 F_{\alpha} d^3 v; \quad \pi_{\parallel\alpha} = m_{\alpha} \int \left(w_{\parallel}^2 - \frac{1}{3} w^2 \right) F_{\alpha} d^3 v. \quad (21)$$

where F_{α} is the distribution function of ions or electrons, which should be determined from the kinetic equation, and $w_{\parallel} = v_{\parallel} - v_0$.

Below, we will take into account only the longitudi-

nal component of the viscosity which is important for a fully ionized and weakly collisional plasma for different problems (the transverse viscosity is proportional to a

collision frequency and the oblique (magnetic) viscosity take into account the finite Larmor radius effects which

are small in our case). The viscosity equation is used as in^[20]:

$$\vec{\nabla} \cdot \vec{\pi} = \frac{3}{2} \left\{ [\vec{h}(\vec{\nabla} \cdot \vec{h}) + (\vec{h} \cdot \vec{\nabla})\vec{h}] \pi_{\parallel} + \vec{h}(\vec{h} \cdot \vec{\nabla}) \pi_{\parallel} \right\} - \frac{1}{2} \vec{\nabla} \pi_{\parallel} \quad (22)$$

where \vec{h} is the unit vector of the magnetic field. To simplify the calculation procedure of the dielectric permeability tensor, we need to evaluate only the scalar values p_{α} and $\pi_{\parallel\alpha}$.

Assuming that the electric field and others macro-

scopic values of the plasma are oscillating with the frequency ω and ions and electrons have mean velocities $v_{0\alpha}$, the oscillating transverse current can be found from Eq.(20), using Eq.(22):

$$\vec{j}_{\perp} = \sum_{\alpha} \frac{e_{\alpha}}{m_{\alpha}(\omega_{c\alpha}^2 - \omega^{*2})} \left(\omega_{c\alpha} [\vec{h} \times \vec{A}_{\alpha}] + i\omega_{\alpha}^* \vec{A}_{\alpha} \right), \quad (23)$$

where

$$\begin{aligned} \vec{A}_{\alpha} &= -e_{\alpha} N_{\alpha} \vec{E}_{\perp} - \frac{e_{\alpha} N_{\alpha}}{c} [\vec{V}_{0\alpha} \times \vec{B}] + \vec{\nabla}_{\perp} \left(p_{\alpha} - \frac{1}{2} \pi_{\parallel\alpha} \right) + \frac{3}{2} \pi_{\parallel\alpha} \vec{\nabla}_{\perp} \ln B, \\ \vec{V}_{0\alpha} &= v_{0\alpha} \vec{h} + (c/e_{\alpha} N_{\alpha} B_0) \nabla_r p_{0\alpha} \vec{e}_b. \end{aligned}$$

Note that Eq.(23) is valid for an arbitrary magnetic field configuration. The term $p_{\perp\alpha}$ and $p_{\alpha} + \pi_{\parallel\alpha}$ is in fact the transverse pressure $p_{\perp\alpha}$ and $p_{\alpha} + \pi_{\parallel\alpha}$ is the longitudinal pressure $p_{\parallel\alpha}$, which is the reason the longitudinal viscosity, $\pi_{\parallel\alpha}$, is equal to $2(p_{\parallel\alpha} - p_{\perp\alpha})/3$.

For further simplification, we suppose the tokamak to be axially-symmetric with circular magnetic surfaces

(it can be also a solar plasma), and the plasma particle conditions to be in the "plateau" regime (there are no trapped particles in this regime) and toroidal effects are taken into account only in the $1.5\pi_{\parallel\alpha} \vec{\nabla}_{\perp} \ln B_0$ term. It is convenient to proceed from the drift kinetic equation (see, for example,^[20]):

$$\frac{\partial F}{\partial t} + \frac{d\vec{r}}{dt} \cdot \vec{\nabla} F + \frac{dv_{\perp}^2}{dt} \frac{\partial F}{\partial v_{\perp}^2} + \frac{dv_{\parallel}}{dt} \frac{\partial F}{\partial v_{\parallel}} = \hat{S}t\{F\}; \quad (24)$$

Here

$$\begin{aligned} \frac{d\vec{r}}{dt} &= \vec{u} + \frac{1}{\omega_c} \left[\vec{h} \times \left(\frac{\partial \vec{u}}{\partial t} + \vec{u} \cdot \vec{\nabla} \vec{u} + \frac{v_{\perp}^2}{2} \vec{\nabla} \ln B \right) \right], \\ \vec{u} &= v_{\parallel} \vec{h} + \vec{V}_E, \\ \frac{dv_{\perp}^2}{dt} &= v_{\perp}^2 \left[v_{\parallel} \vec{h} \cdot \vec{\nabla} \ln B - \vec{\nabla} \cdot \vec{V}_E - \vec{V}_E \cdot (\vec{h} \cdot \vec{\nabla}) \vec{h} \right], \\ \frac{dv_{\parallel}}{dt} &= \frac{e}{m_{\alpha}} E_{\parallel} - \frac{v_{\perp}^2}{2} \vec{h} \cdot \vec{\nabla} \ln B + v_{\parallel} \vec{V}_E \cdot (\vec{h} \cdot \vec{\nabla}) \vec{h}, \end{aligned}$$

where $\mathbf{F} = F_M + \tilde{f}_c + \tilde{f}_T$, and F_M is the Maxwell distribution function.

After some simplification, we find the expression for the oscillating part of the distribution function $\tilde{f}_{c\alpha}$ in "cylindrical" approximation and $\beta = 8\pi p_0/B_0^2 \ll 1$:

$$\tilde{f}_{c\alpha} = \frac{iF_{M\alpha}e_\alpha}{m_\alpha\omega(\omega_\alpha^* - k_\parallel w_\parallel)} \left\{ \frac{ik_b\omega_\alpha^* m_\alpha v_\perp^2}{\omega_{c\alpha} 2T_\alpha} E_r - \frac{1}{\omega_{c\alpha}} \left[(\omega_\alpha^* - k_\parallel w_\parallel) \frac{\partial \ln F_{M\alpha}}{\partial r} + \right. \right. \\ \left. \left. + \omega_\alpha^* \frac{m_\alpha v_\perp^2}{2T_\alpha} \left(\frac{\partial}{\partial r} + \frac{1}{r} \right) \right] E_b + \left(\frac{\omega m_\alpha w_\parallel}{T_\alpha} - \frac{k_b v_\parallel}{\omega_{c\alpha}} \frac{\partial \ln F_{M\alpha}}{\partial r} + \frac{k_\parallel v_{0\alpha} m_\alpha v_\perp^2}{\omega_{c\alpha} 2T_\alpha} \chi_2 \right) E_\parallel \right\}. \quad (25)$$

where

$$\omega_\alpha^* = \omega - k_\parallel v_{0\alpha}, \quad \chi_2 = -\omega_{ci} v_{0e} / c_A^2.$$

The "toroidal" oscillating part \tilde{f}_T of the distribution function, which we use in the $1.5\pi_{\parallel\alpha} \nabla_\perp \ln B_0$ term, follows from Eq.(24):

$$\tilde{f}_{T(\pm)} = \frac{iF_M}{2R(\omega - k_{\parallel(\pm)} v_\parallel)} \frac{m_\alpha}{T} \left(v_\parallel^2 + \frac{v_\perp^2}{2} \right) (V_{Er} \pm iV_{E\theta}), \quad (26)$$

where

$$k_{\parallel(\pm)} = h_z k + h_\theta (m \pm 1) / r = \frac{1}{R} (n + (m \pm 1) / q), \quad \tilde{f}_{T(\pm)} \sim \exp[in\zeta + i(m \pm 1)\theta],$$

and ζ is a toroidal angle.

Using Eq.(22) and neglecting $v_{0\alpha}$ in comparison with the thermal velocity $v_{T\alpha}$, we find:

$$\frac{3}{2}\pi_{\parallel\alpha} \vec{\nabla}_\perp \ln B_0 = -\frac{icN_{0\alpha}T_{0\alpha}}{2\omega B_0 \mathbf{R}^2} \left\{ (-i\vec{E}_\perp + [\hat{E}_L \times \vec{h}]) Q_\alpha^{(+)} + (i\vec{E}_\perp + [\vec{E}_\perp \times \vec{h}]) Q_\alpha^{(-)} \right\}, \quad (27)$$

where

$$Q_\alpha^{(\pm)} = C_\alpha^{(\pm)} \left[i\sqrt{\pi} W \left(C_\alpha^{(\pm)} \right) \left(1 + 2C_\alpha^{(\pm)4} \right) + C_\alpha^{(\pm)} (1 + C_\alpha^{(\pm)2}) \right] \quad C_\alpha^{(\pm)} = \omega / |k_{\parallel(\pm)}| v_{T\alpha}$$

Now, it is possible to calculate the "cylindrical" parts of p_α and $\pi_{\parallel\alpha}$ values. Using Eqs.(21) and (25), we find

$$p_{\perp\alpha} = \frac{ie_\alpha N_{0\alpha} v_{T\alpha}^2}{\omega_{c\alpha}} \left(-i\hat{M}_{b\alpha} E_r + \hat{M}_{r\alpha} E_b + \hat{M}_{\parallel\alpha} E_\parallel \right) \quad (28)$$

Here,

$$\hat{M}_{b\alpha} = 2k_b (\Lambda_\alpha - 1), \\ \hat{M}_{r\alpha} = 2(\Lambda_\alpha - 1) \left(\frac{\partial}{\partial r} + \frac{1}{r} \right) - \chi_N - \chi_T, \\ \hat{M}_{\parallel\alpha} = -\frac{\omega_{c\alpha} \omega \Lambda_\alpha}{k_\parallel v_{T\alpha}^2} + \frac{k_b \omega \chi_n}{k_\parallel \omega_\alpha^*} \left(\Lambda_\alpha - \frac{k_\parallel v_{0\alpha}}{\omega} \right) + \\ + \frac{k_b \chi_T}{2k_\parallel} \left[1 - \frac{k_\parallel v_{0\alpha}}{\omega_\alpha^*} + \frac{\omega \Lambda_\alpha}{\omega_\alpha^*} (1 + 2Z_\alpha^2) \right] - 2\chi_2 \frac{k_\parallel v_{0\alpha}}{\omega_\alpha^*} (\Lambda_\alpha - 1).$$

Using Eqs.(23) and (28), we find as a result the expression for the transversal current \vec{j}_\perp ,

$$\vec{j}_\perp = \frac{\omega}{4\pi i} \sum_\alpha \frac{\omega_{0\alpha}^2}{\omega^2 (\omega_{c\alpha}^2 - \omega_\alpha^{*2})} \left\{ (\omega_\alpha^* \vec{e}_r - i\omega_{c\alpha} \vec{e}_b) \left[(1 + D_\alpha^{(-)}) \omega_\alpha^* E_r - \right. \right. \\ \left. \left. - \frac{v_{T\alpha}^2 (\chi_N + \chi_T)}{\omega_{c\alpha}} \left(k_b E_r + i\frac{1}{r} \frac{\partial(rE_r)}{\partial r} + i\chi_2 E_\parallel \right) + i \left(E_b (\chi_1 v_{0\alpha} + D_\alpha^{(+)} \omega_\alpha^*) - v_{0\alpha} \frac{\partial E_\parallel}{\partial r} \right) \right] + \right. \\ \left. + (i\omega_{c\alpha} \vec{e}_r + \omega_\alpha^* \vec{e}_b) \left[\left((1 + D_\alpha^{(-)}) E_b - iE_r D_\alpha^{(+)} \right) \omega_\alpha^* + k_b v_{0\alpha} E_\parallel \right] + \frac{\omega}{e_\alpha N_{0\alpha}} \left(\vec{e}_r \hat{L}_{1\alpha} + i\vec{e}_b \hat{L}_{2\alpha} \right) p_{\perp\alpha} \right\}, \quad (29)$$

where

$$D_\alpha^{(\pm)} = \frac{v_{T\alpha}^2}{2R^2 \omega_{c\alpha} \omega_\alpha^*} (Q_\alpha^{(+)} \pm Q_\alpha^{(-)}), \quad \hat{L}_{1\alpha} = \omega_{c\alpha} k_b - \omega_\alpha^* \frac{\partial}{\partial r}, \quad \hat{L}_{2\alpha} = \omega_{c\alpha} \frac{\partial}{\partial r} - \omega_\alpha^* k_b.$$

Note also that in the case $k_{\parallel(\pm)}v_{Ti} \ll \omega \ll k_{\parallel(\pm)}v_{Te}$ we have $\tilde{\pi}_{\parallel e} \simeq -\tilde{p}_e$, i.e. the longitudinal pressure \tilde{p}_{\parallel} is approximately equal to zero if we take into account only parts of \tilde{p}_e and $\tilde{\pi}_{\parallel e}$, depending on Landau damping. The corresponding parts of \tilde{p}_i and $\tilde{\pi}_{\parallel i}$ are small as

compared with $\tilde{p}_{\parallel e}$ and $\tilde{\pi}_{\parallel e}$ and are omitted. We can see that the Landau damping in the transverse current is derived from the pressure \tilde{p}_e and the viscosity $\tilde{\pi}_{\parallel e}$.

Substitution of Eq.(28) into Eq.(29) results in the components of the dielectric permeability tensor:

$$\hat{\epsilon}_{11} = 1 + \sum_{\alpha} \frac{\omega_{0\alpha}^2}{\omega^2 (\omega_{c\alpha}^2 - \omega_{\alpha}^{*2})} \{ \omega_{\alpha}^{*2} (1 + D_{\alpha}^{(-)}) - \frac{k_b \omega_{\alpha}^* v_{T\alpha}^2 (\chi_N + \chi_T)}{\omega_{c\alpha}} + \omega_{c\alpha} \omega_{\alpha}^* D_{\alpha}^{(+)} + \frac{1}{N_{0\alpha}} \hat{L}_{1\alpha} \left(\frac{N_{0\alpha} v_{T\alpha}^2 \hat{M}_{b\alpha}}{\omega_{c\alpha}} \right) \}, \tag{30}$$

$$\hat{\epsilon}_{22} = 1 + \sum_{\alpha} \frac{\omega_{0\alpha}^2}{\omega^2 (\omega_{c\alpha}^2 - \omega_{\alpha}^{*2})} \{ \omega_{\alpha}^{*2} (1 + D_{\alpha}^{(-)}) - v_{T\alpha}^2 (\chi_N + \chi_T) \left(\frac{\partial}{\partial r} + \frac{1}{r} \right) - \frac{1}{N_{0\alpha}} \hat{L}_{2\alpha} \left(\frac{N_{0\alpha} v_{T\alpha}^2 \hat{M}_{r\alpha}}{\omega_{c\alpha}} \right) + \omega_{c\alpha} (\chi_1 v_{0\alpha} + \omega_{\alpha}^* D_{\alpha}^{(+)}) \}, \tag{31}$$

$$\hat{\epsilon}_{12} = i \sum_{\alpha} \frac{\omega_{0\alpha}^2}{\omega^2 (\omega_{c\alpha}^2 - \omega_{\alpha}^{*2})} \{ \omega_{\alpha}^* \omega_{c\alpha} (1 + D_{\alpha}^{(-)}) - \frac{\omega_{\alpha}^* v_{T\alpha}^2 (\chi_N + \chi_T)}{\omega_{c\alpha}} \left(\frac{\partial}{\partial r} + \frac{1}{r} \right) + \frac{1}{N_{0\alpha}} \hat{L}_{1\alpha} \left(\frac{N_{0\alpha} v_{T\alpha}^2 \hat{M}_{r\alpha}}{\omega_{c\alpha}} \right) + \omega_{\alpha}^* (\chi_1 v_{0\alpha} + \omega_{\alpha}^* D_{\alpha}^{(+)}) \}, \tag{32}$$

$$\hat{\epsilon}_{21} = i \sum_{\alpha} \frac{\omega_{0\alpha}^2}{\omega^2 (\omega_{c\alpha}^2 - \omega_{\alpha}^{*2})} \left\{ -\omega_{\alpha}^* \omega_{c\alpha} (1 + D_{\alpha}^{(-)}) + k_b v_{T\alpha}^2 (\chi_N + \chi_T) - \omega_{\alpha}^{*2} D_{\alpha}^{(+)} + \frac{1}{N_{0\alpha}} \hat{L}_{2\alpha} \left(\frac{N_{0\alpha} v_{T\alpha}^2 \hat{M}_{b\alpha}}{\omega_{c\alpha}} \right) \right\}, \tag{33}$$

$$\hat{\epsilon}_{13} = i \sum_{\alpha} \frac{\omega_{0\alpha}^2}{\omega^2 (\omega_{c\alpha}^2 - \omega_{\alpha}^{*2})} \left\{ v_{0\alpha} \hat{L}_{1\alpha} + \frac{1}{N_{0\alpha}} \hat{L}_{1\alpha} \left(\frac{N_{0\alpha} v_{T\alpha}^2 \hat{M}_{\parallel\alpha}}{\omega_{c\alpha}} \right) \right\}, \tag{34}$$

$$\hat{\epsilon}_{23} = - \sum_{\alpha} \frac{\omega_{0\alpha}^2}{\omega^2 (\omega_{c\alpha}^2 - \omega_{\alpha}^{*2})} \left\{ v_{0\alpha} \hat{L}_{2\alpha} + \frac{1}{N_{0\alpha}} \hat{L}_{2\alpha} \left(\frac{N_{0\alpha} v_{T\alpha}^2 \hat{M}_{\parallel\alpha}}{\omega_{c\alpha}} \right) \right\} \tag{35}$$

The operators $\hat{L}_{1\alpha}$, $\hat{L}_{2\alpha}$, $\hat{M}_{r\alpha}$, $\hat{M}_{b\alpha}$, $\hat{M}_{\parallel\alpha}$ are applied to all quantities, which are in the right hand side of them, including the electric field components.

To derive the remaining components of the dielectric permeability tensor, $\hat{\epsilon}_{3\alpha}$, it is necessary to take into account drift terms in the drift kinetic equation, i.e., F_{α} has to be replaced by $F_{\alpha} \rightarrow F_{\alpha} + \Delta F_{\alpha}$, where

$$\Delta F_{\alpha} = \frac{ick_b v_{\perp}^2}{2B_0 \omega \omega_{c\alpha} (\omega_{\alpha}^* - k_{\parallel} w_{\parallel})} \frac{\partial F_{M\alpha}}{\partial r} \left\{ -ik_b E_r + \left(\frac{\partial}{\partial r} + \frac{1}{r} \right) E_b \right\}. \tag{36}$$

We proceed from expressions Eqs.(9) and (24). As a result, we find

$$\hat{\epsilon}_{31} = \frac{ik_b}{k_{\parallel}} \sum_{\alpha} \frac{\omega_{0\alpha}^2}{\omega \omega_{c\alpha}} \left\{ \Lambda_{\alpha} - \frac{k_{\parallel} v_{0\alpha}}{\omega} - \frac{k_b v_{T\alpha}^2}{\omega \omega_{c\alpha}} [\chi_N \frac{\omega}{\omega_{\alpha}^*} \left(\Lambda_{\alpha} - \frac{k_{\parallel} v_{0\alpha}}{\omega} \right) + \right.$$

$$+\frac{\chi T}{2} \left[\frac{\omega}{\omega_\alpha^*} \Lambda_\alpha (1 + 2Z_\alpha^2) + 1 - \frac{k_{\parallel} v_{0\alpha}}{\omega_\alpha^*} \right] \Big\}, \quad (37)$$

$$\begin{aligned} \hat{\epsilon}_{32} = & \sum_{\alpha} \frac{\omega_{0\alpha}^2}{\omega \omega_{c\alpha}} \left\{ \frac{\chi_N v_{0\alpha}}{\omega} - \frac{\omega}{k_{\parallel} \omega_\alpha^*} \left(\Lambda_\alpha - \frac{k_{\parallel} v_{0\alpha}}{\omega} \right) \left(\frac{\partial}{\partial r} + \frac{1}{r} \right) + \right. \\ & \left. + \frac{v_{T\alpha}^2 k_b}{\omega_\alpha^* \omega_{c\alpha} k_{\parallel}} \left[\chi_N \left(\Lambda_\alpha - \frac{k_{\parallel} v_{0\alpha}}{\omega} \right) + \frac{\chi T}{2} \left[\frac{\omega_\alpha^* - k_{\parallel} v_{0\alpha}}{\omega} + \Lambda_\alpha (1 + 2Z_\alpha^2) \right] \right] \left(\frac{\partial}{\partial r} + \frac{1}{r} \right) \right\}, \quad (38) \end{aligned}$$

$$\begin{aligned} \hat{\epsilon}_{33} = & 1 + \sum_{\alpha} \frac{\omega_{0\alpha}^2}{k_{\parallel}^2 v_{T\alpha}^2} \left\{ \Lambda_\alpha + \frac{k_b v_{T\alpha}^2}{\omega_\alpha^* \omega_{c\alpha}} \left[\chi_N \left(\frac{k_{\parallel}^2 v_{0\alpha}^2}{\omega^2} - \Lambda_\alpha \right) - \frac{\chi T}{2} [1 + \right. \right. \\ & \left. \left. + \Lambda_\alpha (2Z_\alpha^2 - 1)] \right] + \frac{v_{0\alpha} \chi_2 k_{\parallel}^2 v_{T\alpha}^2}{\omega \omega_\alpha^* \omega_{c\alpha}} \left(\Lambda_\alpha - \frac{k_{\parallel} v_{0\alpha}}{\omega} \right) \right\}. \quad (39) \end{aligned}$$

In conclusion to this section, we can say that the MND method of the dielectric permeability tensor evaluation may be used as an alternative to the method of the direct solution of the kinetic equation, which is developed in Section 2. This method can help us to clarify the underlying physics in an arbitrary geometry of the magnetic field. The tensor components, which are obtained by means of both methods, coincides. The MHD method can simplify the calculations of the dielectric permeability tensor in complicated magnetic fields because we need to find only three scalar perturbed values in MHD equations: $p_{\perp\alpha}$, $p_{\parallel\alpha}$ and $j_{\parallel\alpha}$. The toroidal terms in Eqs. (30) - (35) (connected with the $D_\alpha^{(\pm)}$ terms) can be used in the investigation of the global Alfvén waves in a complicated geometry (for example, in tokamaks).

IV. Current drive analysis

In this section, using the cylindrical plasma model (see Section 11), we analyze the current drive produced by Alfvén waves. Density, temperature, and current profile are assumed to be diffusive along the radial variable and homogeneous along the magnetic field lines; and the plasma is magnetized, so that the Larmor radii of the electrons and ions are smaller than the radial inhomogeneous plasma parameters ($\chi_{N,T}$).

The modeling of kinetic effects produced by

Coulomb collisions have wide applications in plasma physics problems connected with the current drive, RF plasma heating in closed and open magnetic devices. For the mathematical description of those problems, nonlinear integro-differential spatially uniform kinetic equation of Landau-Fokker-Planck (LFP) type is usually used (see References [14,15]). To take into account weak collision effects, $\nu_{ei} \ll R$, the Landau form of the collision operator in the kinetic Eq. (1) is considered for electrons. We suppose that the collision frequency is sufficient ($\nu_{ei} \gg \tilde{\omega}_b$, where $\tilde{\omega}_b$ is the electron bounce frequency in the wave field) to neglect the nonlinear effects of electrons captured by the wave field^[22].

IV.1 Analytical treatment

To describe the plasma interaction of a wave packet absorbed by electrons due to Landau dumping, we proceed from Eqs.(1,2). We represent the distribution function as a sum ($F_0 + \tilde{f}$) of the quasistationary and perturbed parts as discussed in Section 2. The perturbed part is proportional to the wave field amplitude. Averaging Eq. (1) for electrons and ions over time or a spatial period of Alfvén waves along the magnetic field lines, multiplying these equations by the momentum $m_{e,i} v_{\parallel}$, integrating in velocity space, and combining the equations for electrons and ions, we obtain the average current density equation:

$$\langle j_z \rangle = \frac{e^2}{m_e \nu_{ei}} \langle \tilde{E}_z (\tilde{N}_e - \tilde{N}_i \frac{m_e}{m_i}) - \frac{1}{c|e|} \left[(\tilde{j}_e - \tilde{j}_i \frac{m_e}{m_i}) \times \tilde{B} \right]_z \rangle. \quad (40)$$

In the above equation the brackets $\langle \dots \rangle$ denote averaging over a period of the wave oscillations. Note that above equation was obtained in the two fluid magneto-hydrodynamic model by Klima^[23] and that equation follows from the generalized Ohm's law^[14] for weakly collisional plasmas. Further, the corrections associated with oscillations of the ions in the wave fields will be neglected because of the small electron-ion mass ratio m_e/m_i . The oscillations of plasma density and current are proportional to the oscillations of the RF fields, which is chosen in one mode approximation:

$$\tilde{E}_{r,\theta,z} = E_{r,\theta,z}(r) \exp i(m\theta + kz - Rt). \quad (41)$$

where (m, k) are poloidal and axial wave numbers, respectively.

As the next step, we find the relation between the density and current oscillations from the equation of continuity, and the magnetic and electric field oscillations from the induction equation:

$$\tilde{N}^{(e)} = -\frac{i}{e\Omega} \text{div } \tilde{j}^{(e)}, \quad \tilde{B} = -\frac{ic}{R} \text{rot } \tilde{E}; \quad (42)$$

where $\tilde{j}_\alpha^{(e)} = -(i\Omega/4\pi)\epsilon_{\alpha q}^{(e)} \tilde{E}_q$ and $\epsilon_{\alpha\alpha}^{(e)}$ is the electric part of the dielectric permeability tensor for low frequencies $R \ll \omega_{ci}$, see Eqs. (10-18).

Substituting Eqs. (41) and (42) into Eq. (40), we obtain the value of the parallel current:

$$\langle j_{\parallel} \rangle \approx -\frac{|e|k_{\parallel}}{m_e \nu_{ei} a} \left\{ P_e + \frac{1}{2k_{\parallel} r} \frac{d}{dr} \left[\text{Im}(r j_r^{(e)} \tilde{E}_{\parallel}^*) \right] \right\}. \quad (43)$$

where $P_e = \text{Re}(j_q^{(e)} \tilde{E}_q^*)/2$ is the density of the dissipated power as defined by analogy to the homogeneous

plasma case (\bar{P}_e). For homogeneous plasmas, the first term of Equation (43) is the driven current, calculated in^[24,25], which is proportional to the dissipated power $\bar{P}_e \propto (\Omega/8\pi) \text{Im}(\epsilon_{33}) |E_{\parallel}|^2$. The second term is the gradient current^[23,24] connected to the gradient of the density over the radius and decreasing the wave amplitude due to the wave dissipation. This current contains the helicity injection current^[24,25,26].

$$j_h = -\frac{|e|}{8\pi \nu_{ei} m_e} \text{Im} \left[\frac{i\epsilon_{12}^{(e)}}{r} \frac{\partial}{\partial r} (r E_b E_{\parallel}^*) \right]. \quad (44)$$

Furthermore, we assume the geometric optic approximation over the radial coordinate to study the wave polarization:

$$E_{r,b,\parallel} = E_{r,b,\parallel}^{(0)} \exp(i \int_0^r k_r dr) \quad (45)$$

Substituting Eq.(45) into the Maxwell's equations (2), we obtain the ratio of the parallel and binormal electric field components for low frequency waves ($R \ll \omega_{ci}$):

$$\frac{E_{\parallel}}{E_b} = \frac{(\epsilon_{11} - N_{\parallel}^2)(\epsilon_{22} - N_r^2 - N_{\parallel}^2) - N_b^2(\epsilon_{22} - N_{\parallel}^2)}{N_r^2 N_b N_{\parallel} + \epsilon_{23}(N_b^2 + N_{\parallel}^2 - \epsilon_{11}) + \epsilon_{13} N_r N_{\parallel}} \quad (46)$$

where $\vec{N} = \vec{k}c/\Omega$ is refractive index, which will be used in the next equation only. This formula will be useful for current drive analysis.

For kinetic Alfvén wave (KAW) we find the dispersion relation (see, for example^[2]):

$$N_r^2 \approx (\epsilon_{11} - N_{\parallel}^2)\epsilon_{33}/\epsilon_{11}; \quad E_{\parallel} \approx -E_b \left[(\epsilon_{11} - N_{\parallel}^2)N^2 + iN_b^2 \text{Im}(\epsilon_{22}) \right] / N_r^2 N_b N_{\parallel} \quad (47)$$

Using the above equations we estimate the KAW current drive (related to the wave dissipation by electron) as

$$\langle j_{\parallel} \rangle \approx j_{cd} \left[1 + \frac{2\omega_{ci} \text{Re}(k_r) k_b^3 v_{Te}^2}{\Omega \text{Re}(\epsilon_{33}) \Omega^2} \text{Im}(\Lambda_e) \right], \quad (48)$$

where simple current drive is presented by the expression:

$$j_{cd} = -\frac{|e| k_{\parallel} \bar{P}_e}{m_e \nu_{ei} \Omega}$$

The first term in the Equation (48) is the simple current drive (j_{cd}) and the second one is the helicity injection current. If the linear Alfvén wave is a standing wave along toroidal and poloidal directions, we should consider the current drive from waves with $k_{\parallel} = \pm |k_{\parallel}|$ and $k_b = \pm |k_b|$ and finally we obtain only the helicity injection (or gradient) current. The local driving efficiency (the ratio of current to dissipated power) of KAW current drive is higher by one order of magnitude than the simple current drive efficiency of traveling KAW ($j_h / \bar{P}_e \approx 10 j_{cd} / \bar{P}_e$).

For global Alfvén wave (GAW) and fast magnetosonic wave (FMSW) (see, for example^[2], the value of the parallel oscillatory current will be approximately equal zero, due to a high parallel conductivity. Based on this condition, we evaluate:

$$\epsilon_{33} E_{\parallel} \approx -\hat{\epsilon}_{32} E_b \quad (49)$$

After substituting this equation into Eq. (43), we obtain:

$$\langle j_{\parallel} \rangle \approx j_{cd} \left[1 - \frac{\text{Re}(1 - A) \chi_N - 2 \text{Im}(k_r)}{\text{Im}(\Lambda_e) \text{Re}(k_r)} \right] \quad (50)$$

Where χ_N is the radial inhomogeneous density parameter, $A = 1 - \eta$. The first term in Eq. (50) is the simple current drive and the second one is the gradient (and helicity) current drive. If the GAW are standing waves ($k_{\parallel} = \pm |k_{\parallel}|$ and $k_r = \pm |k_r|$) the first term of Eq.(50) will disappear and only the gradient current drive will exist.

The value of the GAW gradient (nonresonant) current drive will be

$$\langle j_{\parallel} \rangle \approx \frac{|e| k_{\parallel} \bar{P}_e (1 - \text{Re}(\Lambda_e)) \chi_N}{m_e \nu_{ei} \Omega \text{Im}(\Lambda_e) k_r} \quad (51)$$

So, the local efficiency of Alfvén wave current drive can be increased by one order of magnitude due to non-resonant gradient forces when ICAW phase velocity is small, $c_A \ll v_{Te}$, and GAW phase velocity is large, $c_A > v_{Te}$. This additional nonresonant current drive will not depend on trapped particle effects, which is supposed to reduce strongly the Alfvén current drive in tokamaks^[2].

IV.2 Numerical treatment

In this subsection, for modeling of the current drive and RF plasma heating in tokamaks and space plasma configurations, we shall consider the two dimensional in the velocity space and spatially uniform LFP kinetic operator in the approximation of the isotropic Rosenbluth potentials (see Ref. [15]). We assume that the magnetic surfaces in a tokamak are circular and coaxial. The equation under consideration has been obtained from the drift kinetic equation with the collision integral and under the quasi-linear assumption for narrow $\Delta c_{ph} \ll v_{ph}$ Alfvén wave packet^[27-34], where Δc_{ph} is the width of the packet, c_{ph} is the phase velocity, and v_{Te} is the thermal electron speed.

It is assumed that the action of the waves, with the phase velocity considerably exceeding the thermal ion speed ($c_{ph} \gg v_{Ti}$), leads to a slight and unimportant distortion of the ion distribution function. Therefore, the ion distribution is not changed very much and can be chosen as Maxwellian with a fixed initial temperature $T = T_i(0) = T_e(0)$. The RF field action on the quasistationary distribution function can be described in the quasilinear approximation. The wave absorption due to Landau damping (for example, kinetic Alfvén waves) is taken into account by the quasi-linear operator, $\hat{D}_z f$, in the form,

$$\hat{D}_z f = \partial / \partial v_z (D_0 \partial f / \partial v_z),$$

Further, this operator will be written down in spherical coordinates. We confine ourselves to the approximation (see^[27,31]) where the quasi-linear diffusion coefficient is constant within the phase resonance region and equals to zero in the other part of the velocity space:

$$D_0 = \begin{cases} \text{const.}, & \text{if } |v_z - c_{ph}| \leq \Delta c_{ph} \\ 0, & \text{otherwise.} \end{cases}$$

To present the form of the kinetic equation we shall make the usual normalization procedure for the velocity, the time and distribution function:

$$\tilde{v} = v/v_{Te(t=0)}; \quad \tilde{t} = t/t_N, \quad t_N = \frac{v_{Te}^3 m_e^2}{4\pi e^4 N_e \ln(\Lambda_{Coul})}; \quad f = \frac{2\pi v_{Te}^3 F}{N_e}.$$

As usual, the notations $N_{e,i}, e, m_e$ denote electron and ion densities, charge and mass of electrons, respectively; $\ln\Lambda_{Coul}$ is the Coulomb logarithm.

for the new variables is

$$\frac{\partial f}{\partial t} = \hat{S}t\{f\} + \hat{D}f - \hat{E}f; \quad t > 0, \quad (52)$$

where $f(v, \mu, t)$ is the electron distribution function, and the specific type of the collision operator in the right part is presented in the form^[32]:

Now, omitting the sign 'tilde', the kinetic equation

$$\hat{S}t\{f\} = \frac{1}{v^2} \frac{\partial}{\partial v} \left[\frac{1}{v} \frac{\partial W(f; v)}{\partial v} \right] + \frac{1}{v^2} C(f; v) \frac{\partial}{\partial \mu} \left[(1 - \mu^2) \frac{\partial f}{\partial \mu} \right]. \quad (53)$$

Here,

$$W(f; v) = \sum_{\alpha=e,i} \left\{ \int_0^v \left(\frac{1}{m_e} \right) (m_\alpha) F_\alpha(x, t) [p(x, \mu) - p(v, \mu)] x^2 dx - \int_0^v P_\alpha(x) [f(x, \mu) - f(v, \mu)] x^2 dx \right\};$$

$$C(v) = \frac{1}{2v} \sum_{\alpha=e,i} \left(N_\alpha(v) - \frac{R_\alpha(v)}{v^2} + vP_\alpha \right);$$

$$p_\alpha(v, \mu) = \int_v^\infty F_\alpha(x, \mu) x dx, \quad F_\alpha(v) = \int_{-1}^1 F_\alpha(v, \mu) d\mu, \quad N_\alpha(v) = \int_0^v F_\alpha(x) x^2 dx,$$

$$P_\alpha(v) = \int_{-1}^1 p_\alpha(v, \mu) d\mu, \quad R_\alpha(v) = \int_0^v P_\alpha(x) x^2 dx$$

where $v = |\vec{v}|$ - modulus of the velocity; $\mu = \vec{v} \cdot \vec{B} / |\vec{v}| |\vec{B}|$ and $-1 \leq \mu = v_{||} / v \leq 1$.

The initial electron distribution has the Maxwellian form. The distribution function moment $N_\alpha(v = \infty)$ corresponds to the density of particles, the energy of particles and the parallel current of the system are defined in spherical coordinates as follows:

$$\mathcal{E}_\alpha = \int_0^\infty dv v^4 \int_{-1}^1 d\mu F_\alpha(v, \mu, t), \quad j = \int_0^\infty dv v^3 \int_{-1}^1 d\mu \mu f(v, \mu, t).$$

To investigate the influence of an external electrical field we include in Equation (52) the operator $\hat{E}f$ ^[31], which has the following form:

$$\hat{E}f = \gamma \frac{\partial f}{\partial v_z} = \gamma \frac{1}{v^2} \left\{ \frac{\partial}{\partial v} [f v^2 \mu] + \frac{\partial}{\partial \mu} [(1 - \mu^2) v f] \right\},$$

where γ is the ratio between the electrical field \mathbf{E} and the so called Dreicer field, $E_{Dr} = 4\pi e^3 n \ln(\Lambda_{Coul}) / T_e$; for tokamak plasmas $\gamma < 0.01$ as a rule.

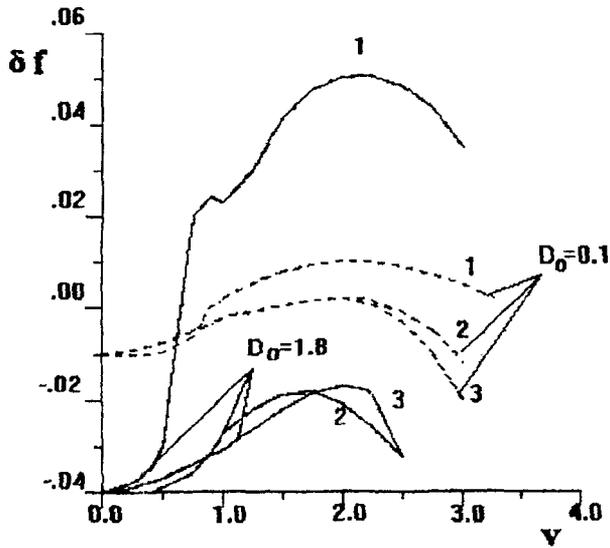


Figure 1. Plot of the deviation of the distribution function from Maxwell distribution δf over module of the normalized velocity v , for the parallel $\mu = 1$ (1), antiparallel $\mu = -1$ (3) and perpendicular $\mu = 0$ (2) directions, relatively to the magnetic field in the velocity space, when the normalized quasi-linear coefficient D_0 is equal to 1.8 (solid line) and 0.1 (dashed line) when the normalized phase velocity is $c_A/v_{Te} = 0.6$.

In the computer solution of the problem, the numerical algorithms, which are based upon the completely conservative finite difference scheme [32,33], are used. If the discrete model (difference scheme) possesses only approximate analogs of the conservation laws, then this can lead to the accumulation of errors in the analysis of non-stationary and nonlinear problems. The completely conservative difference scheme reflects some symmetry properties of the nonlinear kinetic equation in a discrete case. This scheme maintains two distribution function moments (integrals), which are correspond to the density (N_e) and energy (\mathbf{I}) in the plasma system.

Under the influence of the RF diffusion operator, the initial distribution changes its form. The distribution function takes an anisotropic shape and the current begins to be driven. The increasing current saturates in time. Up to this moment of time, $\approx 10 t_N$, the current reaches the most high value j_D while the quasilinear operator forms "plateau" in the distribution function in the velocity region $v, \sim c_{ph}$. The most intensively

Coulomb diffusion affects in the thermal velocity region, $c_{ph} \approx v_{Te}$, see Fig.1, that has result in increasing of the maximum of the dissipated power and the possibly achievable current.

Certainly, the value of the current depends on the phase velocity, c_{ph} , the magnitude of the diffusion coefficient D_0 and the width of wave packet Δc_{ph} , and rises with their increasing. The current dependence on the coefficient D_0 and width Δc_{ph} is essentially nonlinear as shown in Fig.2.

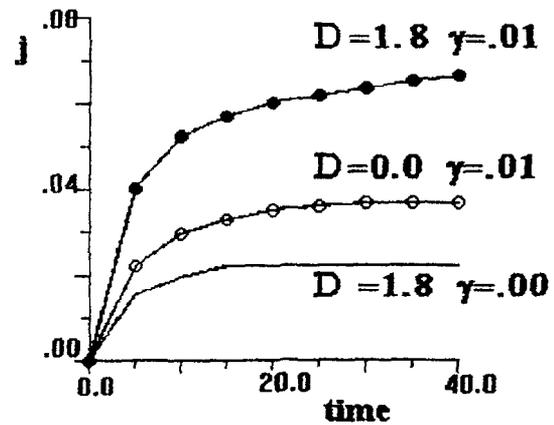


Figure 2. The evolution of the normalized current density in normalized time for the following parameters: phase velocity $c_{ph} = 0.6$, electrical field $\gamma = E/E_{Dr} = .01$ (dotted lines) and 0.0 (solid line), quasilinear diffusion coefficient $D_0 = 1.8$ (solid circle) and 0.0 (empty circle).

In the super thermal velocity region $v > v_{th}$, the electrical field influence on the electron distribution function, leads to the creation of distribution tails, which have substantial nonmaxwellian character. The value of the Ohmic current is $j_{||} = \sigma_{OH} E_{||}$, where σ_{OH} and \mathbf{E} are the plasma conductivity and electrical field, respectively.

Note that the total current density in plasma system, presented in Fig.2, does not equal the sum of currents, which are induced separately by different mechanisms. The additional significant current appeared in

plasma due to plasma conductivity stimulation by RF field under its combined action with the stationary electric field. That result is not evident for Alfvén waves heating (in comparison with the lower hybrid current drive, see^[29]) because of large distance between the active regions of influence of the electric field operator and the quasilinear operator. The total current can be represented as a sum of different currents:

$$j_t = j_D + (\sigma_{OH} + \sigma_{QL})E + j_N,$$

Here, j_D is the driven current, which is equal to ηP , where η is current drive efficiency, that is defined as ratio of the current drive to the dissipated power, P ; $\sigma_{QL}E$ is the current stimulated by Alfvén waves in the quasi-linear approximation and j_N is the nonlinear current^[34].

To take into account the influence of trapped particles on the Alfvén current drive, we add to the right hand part of Eq.(53) the angle diffusion operator as in^[29,34], which represents a simplified form of the trapped particle collision operator, see Ref.[35]:

$$\hat{I}_{tr} f \sim \nu_b \frac{\partial}{\partial \mu} \left[(1 - \mu^2) \frac{\partial f}{\partial \mu} \right] \quad (54)$$

This operator acts within the region $v_{\perp} > v \cos \mu \approx v \sqrt{r/R}$ occupied by the trapped particles, where the bounce frequency $\nu_b \gg \nu_{tr}$. The toroidicity parameter $\epsilon = \sqrt{r/R}$ corresponds to the trapped angle of electrons; for example, if $\epsilon = 0.04$ then $\Delta \mu \approx 0.2$.

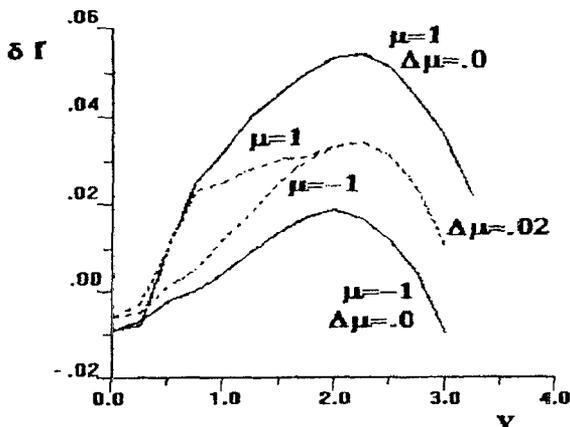


Figure 3. Plot of the deviation of the distribution function from Maxwell distribution δf over module of the normalized velocity v , for the parallel ($\mu = 1$) and antiparallel ($\mu = -1$) directions, relatively to magnetic field in the velocity space, when the normalized quasilinear coefficient D_0 is equal 1.8 (dashed line is for the trapped electron case) and the phase velocity $c_{ph} = 0.6$.

The current is basically carried by superthermal electrons in the absence of trapped particles. The result of the action of trapped particles is the isotropization of the distribution function for velocities $v > c_{ph} \sqrt{r/R}$.

Thanlis to that fact, the value of the current decreases, which is directly related to the anisotropy of the distribution function. In Fig.3, the electron distribution splitting over "directions" μ is shown in the case of the trapped particle to be absent and present.

Note that in Fig.2 and Fig.3 the deviations, $\delta f = (f - f_m)/f_m$, of the electron distribution, $f(v, \mu, t)$, from Maxwellian form are shown. In spite of the strong decreasing of the current magnitude in the case of trapped particles, the stimulated plasma conductivity effect under combined action of electrical and RF fields is kept in this case too^[34]. The presence of the trapped particles and the electric field modify the scaling of the current drive efficiency.

5. Conclusions

In this paper a method of the evaluation of the dielectric permeability tensor in a magnetized plasma is demonstrated in the cases of the large aspect ratio tokamak (the "plateau" regime) and cylindrical magnetic field configurations with inhomogeneous plasmas. The magneto-hydrodynamic and direct kinetic approaches are used, and their equivalence are shown. The toroidal corrections of the permeability tensor are found using the MHD approach.

A general procedure of the current drive calculation is developed for the cylindrical plasma model. The analytical expression for the longitudinal current drive by time - averaged electromagnetic forces is obtained and the influence of the plasma inhomogeneity (the "gradient" effect) on the current drive is discussed. It is shown that the efficiency of KAW and GAW current drive due to helicity injection and "gradient" effects are higher by one order of the magnitude in comparison with the

current driven by travelling KAW and GAW.

The numerical investigation of the Alfvén current drive is carried out on the base of the drift kinetic equation with the Landau - Fokker - Planck collision integral. It is shown that the additional increasing of the current (synergistic effect) in the plasma appears due to the plasma conductivity induced by the RF field, which action is combined with that of the electrical field.

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