

Influence of the Fifth-Order Nonlinearity on the Pulse Propagation in Optical Fibers

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We analyze the effects of the fifth-order optical nonlinearity, $n_4 \propto \text{Re}\chi^{(5)}$, on pulse propagation in fiber waveguides. It is shown that the fifth-order term affects the pulse evolution at appropriate intensities such that contributions to the effective nonlinearity arising from terms of order higher than n_4 can be neglected. The phenomena of modulational instability and soliton propagation in the presence of n_4 are studied with applications to semiconductor doped glass fibers.

I. Introduction

Pulse propagation in optical fibers has been intensively studied over the past few years, with important technological results as well as new insights in basic phenomena of nonlinear propagation of ultrashort pulses in waveguides. The majority of these results are well described by Agrawal¹ and Alfano² in recent books. Among the well studied nonlinear effects in optical fibers, self-phase modulation (SPM) due to the intensity dependent refractive index leads to a spectral broadening of the pump pulse. Upon propagation in a regime where group velocity dispersion (GVD) is important, the interplay between SPM and GVD can lead to the generation of optical solitons or a temporal instability (modulation instability) if the medium is self-focusing and has anomalous (negative) dispersion. In general, the third-order nonlinearity (in fact $\text{Re}\chi^{(3)}$) and the second order dispersion term are sufficient to explain pulse propagation in optical fibers and can be well described by the nonlinear Schrödinger equation^{1,2}. When a pulse has its duration shorter than 100fs or when the pulse central wavelength lies around the minimum dispersion wavelength value of the fiber, high-order nonlinear and dispersive terms can be included in the propagation equation (generalized nonlinear Schrödinger equation) to account for experimental results.

Another high-order term that has been theoretically studied³⁻⁷ is the fifth-order optical nonlinearity, $n_4 \propto \text{Re}\chi^{(5)}$. For standard silica based fibers, such effect is experimentally very difficult to observe because the value of $|\chi^{(5)}|$ is negligible in comparison with $|\chi^{(3)}|$ ⁶. However, in the recent years, new materials with higher optical nonlinearities than in SiO_2 have been studied. The ternary compounds of $\text{Cd}(\text{S},\text{Se})$ nanocrystallites embedded in glass matrix represent an important class

of such materials now available as optical fibers⁸ as well as waveguide structures⁹. Its nonlinear optical properties have been thoroughly studied since 1983^{10,11}. The main characteristics of the semiconductor doped glasses (SDG) are a bandgap that varies according to the $\text{Se}(\text{S})$ concentration, spanning the visible to near infrared region, a high third-order nonlinear susceptibility ($|\chi^{(3)}| \sim 5 \times 10^{-10} \text{cm}^2/\text{W}$ near bandgap) and a response time of the nonlinearity, $T_1 \leq 10 \text{ps}$, which is intensity dependent for above gap excitation. The material dephasing time T_2 has been measured to be $\sim 20 \text{fs}$ ¹². High-order susceptibilities in commercially available SDG have also been measured, up to $\chi^{(7)}$ on resonance¹³. For instance, the modulus of $\chi^{(5)}$ is only four orders of magnitude smaller than $\chi^{(3)}$ (on resonance) and for 500cm^{-1} off-resonance, we estimate the ratio $|\chi^{(5)}/\chi^{(3)}| \sim 10^{-6}$. It is also known that the sign of $n_2 \propto \text{Re}\chi^{(3)}$ is negative for detunings up to $\sim 3000 \text{cm}^{-1}$ below the conduction band¹⁴.

The results presented here were motivated by the research on SDG, which led to the results pointed out above. In particular, we have exploited the off-resonant region (below the gap) where $n_2 < 0$ and the time response is not clamped by resonant effects. This regime may lead to the existence of solitons in the normal dispersion regime of optical fibers (considering that the inclusion of semiconductor crystallites in the glass imply little effect on its dispersive properties as it accounts for only $\sim 1\%$ in volume). Furthermore, based on the results of ref.[13] where for detunings of $\sim 500 \text{cm}^{-1}$ below the gap a relatively high value of $|\chi^{(5)}|$ was measured, we extrapolated those results to larger ($\sim 2000 \text{cm}^{-1}$) detunings to use approximate values of $|\chi^{(5)}|$ (or actually the ratio $|\chi^{(5)}/\chi^{(3)}|$) to verify the effects of $\chi^{(5)}$ on pulse propagation in SDG fibers.

In our calculation we take into account that for off-resonance excitation the sign of the nonlinearity in the

expansion of the optical susceptibilities alternate (see for instance ref.[15]). When the intensity increases it is necessary to take into account more and more terms in the expansion of nonlinear polarization. For high enough intensities the expansion is not valid at all. Therefore, one needs to work at power levels low enough to avoid this problem. Even in this regime, there is some difference in the pulse propagation when the term due to n_4 is taken into account, as will be described below. On the other hand, if the pump frequency is close enough or above the band gap, saturation effects are much more pronounced and lead to novel results. This subject has also been recently treated by Gatz and Herrmann¹⁶ who considered the case of saturable nonlinearities. In what follows, we numerically analyze (Section II) the effect of n_4 positive on the soliton propagation in the normal dispersion regime, where $n_2 < 0$ provides the required balance for soliton formation. In Section III, we analyze, analytically and numerically, the effect of n_4 to the phenomenon of modulation instability, also in the normal dispersion regime. In both cases realistic parameters for SDG fibers are used. In Section IV we summarize our main conclusions.

II. Soliton Propagation

The equation which models the pulse propagation in optical fibers, the nonlinear Schrodinger equation (NLSE), has been examined in several occasions^{1,2}. Here we follow the notation and conventions of ref.[1] and write the propagation equation disregarding fiber loss but including the fifth-order nonlinearity term. The inclusion of losses does not affect the qualitative results to be obtained. The equation to be solved has the form

$$i\frac{\partial A}{\partial Z} = \frac{1}{2}\beta^2\frac{\partial^2 A}{\partial T^2} - \gamma|A|^2 + \gamma'|A|^4A, \quad (1)$$

where β_2 is the GVD parameter, $\gamma = 2\pi n_2/\lambda_0 A_{eff}$ and $\gamma' = 2\pi n_4/\lambda_0 A_{eff}^2$ are the nonlinearities parameters, λ_0 is the central wavelength, A_{eff} is the effective core area and $A(Z, T)$ is the amplitude of the pulse envelope.

An analytical solution of eq.(1) has been given by Gagnon⁵, where a large set of solutions are presented. In this Section, we describe numerical results of eq.(1), solved using the split-step method (see [1] and refs. therein). As mentioned before, eq.(1) has been analyzed for SiO_2 fibers but due to the extremely small value of $n_4 \sim 10^{-30} \text{ cm}^4/\text{W}^2$ its effect is negligible^{4,6}. For SDG fibers we estimated, from the results of ref.[13], $n_4 \sim 10^{-20} \text{ cm}^4/\text{W}^2$ for detuning $\Delta\omega \sim 2000 \text{ cm}^{-1}$. Eq. (1) can be rewritten in the normalized form

$$i\frac{\partial U}{\partial \xi} = \frac{\text{sgn}(\beta_2)}{2}\frac{\partial^2 U}{\partial \tau^2} - N^2|U|^2U + r|U|^4U, \quad (2)$$

where U is the normalized field envelope, $\xi = Z/L_D$, $N^2 = L_D/L_{n_2}$, $\tau = \frac{t-z/v_g}{T_0}$ and $r = L_D/L_{n_4}$,

with $L_D = T_0^2/|\beta_2|$, $L_{n_2} = \lambda_0 A_{eff}/2\pi n_2 P_0$, $L_{n_4} = \lambda_0 A_{eff}^2/2\pi n_4 P_0^2$. T_0 is the half width at 1/e intensity point of the envelope ($T_0 = T_{FWHM}/1.763$ for the hyperbolic secant envelope used) and P_0 is the peak power. The fiber parameters used were $n_2 = -10^{-12} \text{ cm}^2/\text{W}$ and $n_4 = 10^{-20} \text{ cm}^4/\text{W}^2$. In eq.(2), the peak power effects can be more conveniently analyzed through the ratio L_{n_2}/L_{n_4} . For $L_{n_2}/L_{n_4} \leq 10^{-4}$, the quartic term is negligible and therefore one has the so-called soliton regime, where the negative nonlinearity is balanced by positive GVD. Figure 1 shows the soliton propagation for one soliton period Z_0 ($\frac{\pi}{2}L_D$) corresponding to $N = 2$ (N is the soliton order) for $L_{n_2}/L_{n_4} = 10^{-4}$. As an example of this situation, we consider a fiber with $\beta_2 \sim 0.06 \text{ ps}^2/\text{m}$ and $A_{eff} \sim 10 \mu\text{m}^2$. For a sech type pulse of central wavelength $\lambda_0 = 600 \text{ nm}$, $T_{FWHM} \sim 1 \text{ ps}$ and input peak power $P_0 \sim 0.08 \text{ W}$, the soliton period is $Z_0 = 6 \text{ m}$. The behavior of the fundamental soliton is analogous to the one at the anomalous dispersion regime¹. We have verified that the pulse is actually a soliton by checking its reproducibility in many soliton periods and also that no frequency scanning is introduced in the pulse.

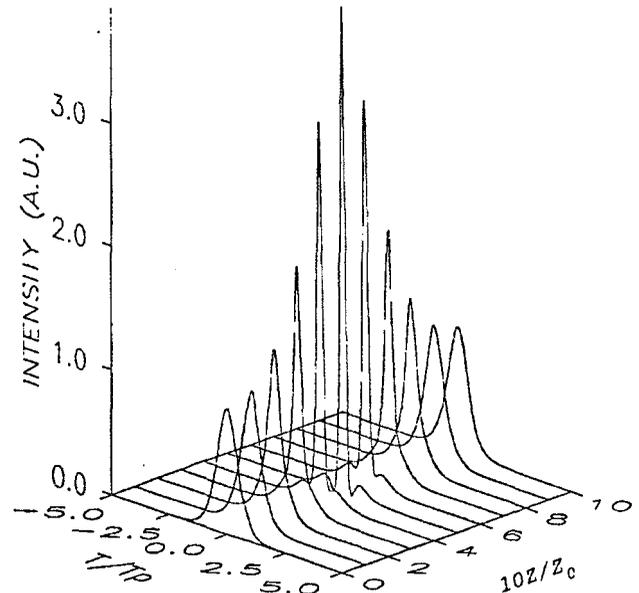


Figure 1: Soliton of order $N = 2$ calculated from eq.(2) with $L_{n_2}/L_{n_4} = 10^{-4}$.

As L_{n_2}/L_{n_4} increases to 10^{-3} , a quasi-soliton can be observed to evolve periodically as shown in fig.2. Such result could be experimentally obtained using $T_{FWHM} \sim 250 \text{ fs}$, $P_0 \sim 0.8 \text{ W}$ and $Z_0 = 0.6 \text{ m}$. Notice the slight asymmetry in the pulse evolution around $Z = Z_0/2$. We also observed departure from soliton behavior due to the pulse shortening throughout the pulse evolution, since the peak power increases and hence n_4 affects the effective chirp, which can no longer be properly compensated by dispersion. For $L_{n_2}/L_{n_4} = 1$, solitons, or even solitary waves, would no longer exist, but

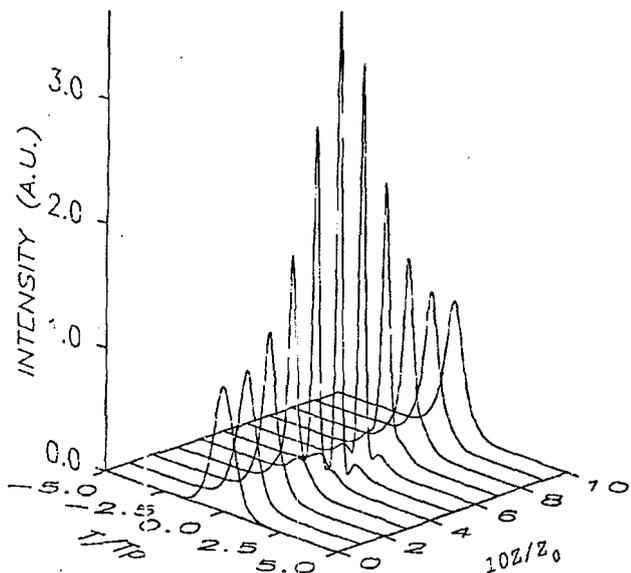


Figure 2: Soliton-like pulse propagation calculated using parameters corresponding to a soliton of order $N = 2$, from eq.(2) with $L_{n_2}/L_{n_4} = 10^{-3}$.

as this case is physically incorrect to treat in the present formalism, no further discussion is given.

From the viewpoint of ultrashort pulse generation, the effect of n_4 would have no dramatic consequence, but from the viewpoint of true solitary wave propagation, the inclusion of n_4 would lead to a disturbance of proper soliton wave propagation, although the solitary wave behavior would be kept.

III. Modulational Instability

Modulational Instability (MI) has been introduced in the context of optical fibers in 1980¹⁷ and was first experimentally observed in 1986¹⁸. Since then, MI has been well studied with view to applications in the generation of pulsetrains with Terahertz repetition rates, both single pass or in lasers based upon MI^{19,20}. Nakazawa et al.²¹ have shown the connection between MI and high-order solitons. On the basis of the discussion presented in Section I, we analyze here the effect of n_4 on the MI where SDG fibers are again considered as the propagating medium, and therefore $n_2 < 0$ and $\beta_2 > 0$. MI in the normal dispersion regime of optical fibers has been studied, but exploiting other mechanisms such as optical wave breaking²² or cross-phase modulation²³. More recently MI has also been analyzed for a saturable nonlinearity (double-doped fiber) and anomalous (negative) dispersion²⁴. By neglecting fiber losses and higher order terms, we analyze analytically the stability of the solution of eq.(1) following the usual procedure (see ref.[1]). The steady state solution of eq. (1) is given by

$$\bar{A} = \sqrt{P_0} \exp(i\phi_{NL}) \quad (3)$$

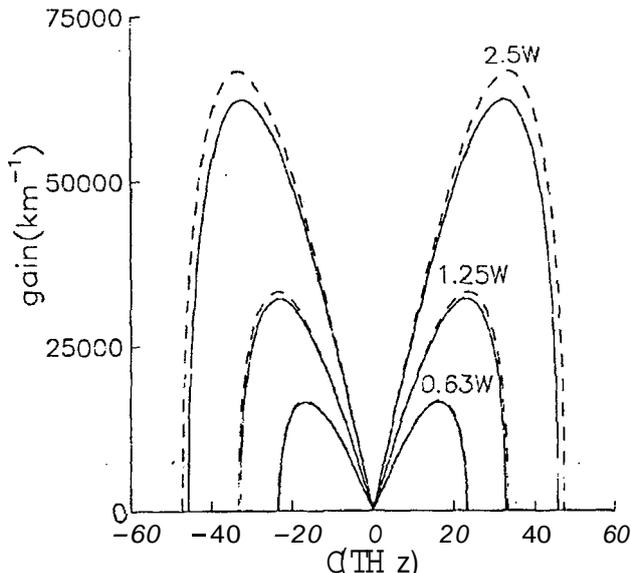


Figure 3: Gain spectra of modulation instability for $n_2 = -10^{-12} \text{cm}^2/\text{W}$, $n_4 = 10^{-20} \text{cm}^4/\text{W}^2$ and $\beta_2 = 0.06 \text{ps}^2/\text{m}$ (solid line) and $n_2 = -10^{-12} \text{cm}^2/\text{W}$, $n_4 = 0$ and $\beta_2 = 0.06 \text{ps}^2/\text{m}$ (dashed line).

where P_0 is the incident power at $Z = 0$ and

$$\phi_{NL} = (\gamma P_0 - \gamma' P_0^2) Z. \quad (4)$$

The stability of the steady-state solution against small perturbations is studied by slightly perturbing the steady state such as:

$$A = (\sqrt{P_0} + a) \exp(i\phi_{NL}). \quad (5)$$

Then, we find the linearized form of eq.(1) as:

$$i \frac{\partial a}{\partial Z} = \frac{1}{2} \beta_2 \frac{\partial^2 a}{\partial T^2} - \gamma P_0 \left(1 - \frac{2\gamma'}{\gamma} P_0 \right) (a + a^*). \quad (6)$$

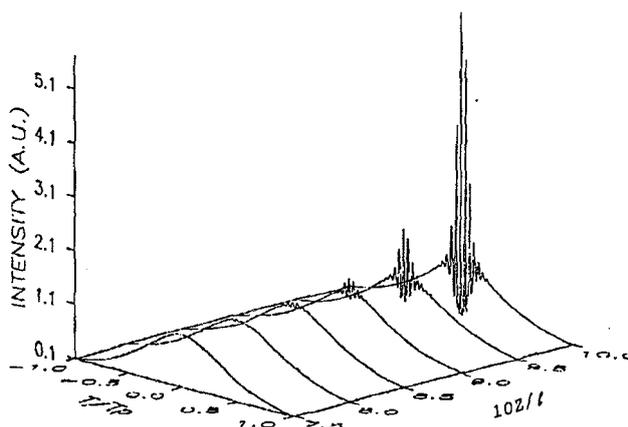


Figure 4: Evolution of soliton pulse with $N = 60$ and $n_2 = -10^{-12} \text{cm}^2/\text{W}$, $n_4 = 0$, $\beta_2 = 0.06 \text{ps}^2/\text{m}$ between $Z = 0.75\ell$ and $Z = 1.0\ell$ ($\ell = 0.68 \text{m}$).

The nontrivial solution for eq.(6) has the same form as for the case where $\gamma' = 0$ and is given by

$$K = \pm \frac{1}{2} |\beta_2| \Omega (\Omega^2 + \text{sgn}(\beta_2) \Omega_c^2)^{1/2}. \quad (7)$$

Therefore, even with the inclusion of n_4 , the MI effect occurs but in this case the critical frequency Ω_c is given by

$$\Omega_c^2 = \frac{4\gamma P_0 \left(1 - \frac{2\gamma'}{\gamma} P_0\right)}{|\beta_2|}, \quad (8)$$

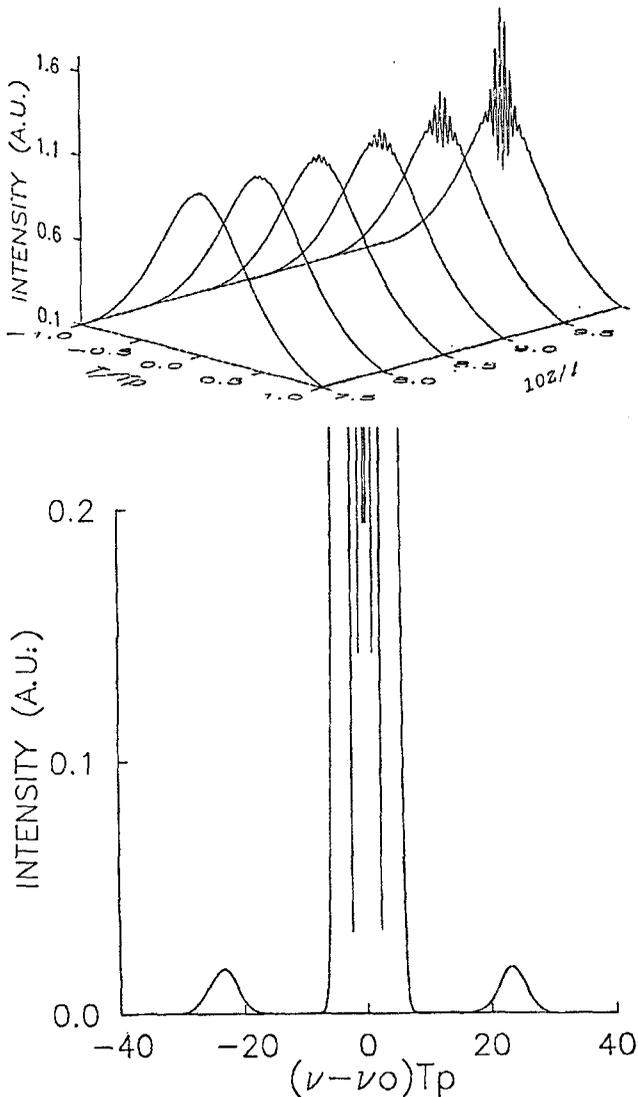


Figure 5: (a) Evolution of soliton pulse between $Z = 0.75\ell$ and $Z = 1.0\ell$ ($\ell = 0.68m$). (b) Magnified spectra corresponding to $Z = 0.8\ell$ showing the characteristics sidebands of MI ($n_2 = -10^{-12}cm^2/W$, $n_4 = 10^{-20}cm^4/W^2$ and $\beta_2 = 0.06ps^2/m$).

which reproduces the usual result when $\gamma' = 0$, as expected. Eq.(7) leads to an instability (K imaginary) when $\text{sgn}(\beta_2) < 0$ and $\Omega < \Omega_c$, because the nonlinearity is positive in the case of silica based fiber. For the case of interest to us, where n_2 is negative, the result still holds for $\text{sgn}(\beta_2) > 0$, as can be verified after some

algebra. In this case, the gain given by $g(\Omega) = 2I_m(K)$ can be written as

$$g(\Omega) = |\beta_2| [\Omega_c^2 - \Omega^2]^{1/2}. \quad (9)$$

Fig.3 shows a plot of gain versus the frequency shift, from eq. (9), where $\beta_2 = 0.06ps^2/m$, $n_2 = -10^{-12}cm^2/W$ and $n_4 = 10^{-20}cm^4/W^2$ have been used. The heavy line shows the results for $n_4 \neq 0$, while the dashed line shows the results for $n_4 = 0$, all at the same input power levels as indicated in the figure. As can be observed, if n_4 is taken into account, a small reduction in the gain and a frequency shift is observed. This can be understood if one notices at eq. (8) that the effect of n_4 is to reduce the net nonlinearity, because of the opposite signs of n_4 and n_2 .

In order to verify the effect of n_4 on the pulse propagation, we employed the same approach as in ref.[21], where the MI can be observed through the evolution of a very high order soliton. For an input pulsewidth $T_0 = 2.6ps$ (*sech* shape assumed) the dispersive length is $L_D = 108m$. Using the fiber core radius $W_0 = 5\mu m$ and $P_0 = 2.5W$, we simulated the pulse evolution for a soliton of order $N = 60$. In this case $L_{n_2} = 0.03m$. Fig.4 shows the pulseshape evolution corresponding to propagation of the $N = 60$ soliton pulse between 0.6ℓ and 0.8ℓ for the case where $n_4 = 0$, and for a fiber length $\ell = 0.68m$, such that $Z/L_D \leq 10^{-2}$. The frequency ripple corresponds to the MI frequency. In fig.5(a) we show numerical simulations using the same parameters as for fig.4 but with the inclusion of the n_4 term, such that $L_{n_2}/L_{n_4} \sim 0.03$. The spectrum corresponding to the pulse at fig.5(a) is shown fig.5(b), where the sidelobes characteristics of MI are clearly shown. Inspection of the phase and frequency chirp show results similar to that of ref.[21]. For the same input parameters and fiber length, it can be observed, as predicted by the analytical results, that the MI would be delayed by the n_4 term, and longer fiber length (or higher power) would be required to reproduce the same gain and MI frequency as in fig. 4.

IV. Conclusion

We have analyzed the influence of the fifth-order nonlinearity on the pulse propagation in highly nonlinear optical fibers. The results show that for appropriate light intensities the n_4 term would affect soliton propagation and modulation instability generation. One interesting aspect of the present study is the feasibility of experimental verification of the studied phenomena in the normal dispersion regime of semiconductor doped fibers because of their negative third-order nonlinearity. Our study does not apply, however, for very high optical intensities or saturable nonlinearities.

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